

CENTRAL INTELLIGENCE AGENCY



Office of Legislative Liaison

OLL 84-1322
30 March 1984

Mr. Joseph Heisler
U.S. General Accounting Office
Washington, DC 20548

Dear Mr. Heisler:

In compliance with your request for information necessary to update the Congressional Information Sources Inventories and Directories data base, I am enclosing the following:

--corrected computer listings for this Agency from 1983

--two Form 510's listing reporting requirements not previously listed in the data base

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I have been designated "contact" person, vice [redacted] If you have any further questions, please contact me at [redacted]

STAT

Enclosures

DISTRIBUTION:

STAT

Original - Addressee

1 - OLL Chrono

1 - D/OLL

✓ 1 - OLL Record (CAO)

1 - DD/OLL

1 - SWH Signer

STAT

CONGRESSIONAL REPORTING REQUIREMENTS

Annual inventory conducted by General Accounting Office (GAO)

1. ACCESSION NUMBER (GAO USE ONLY)ACC: **R**For: Congressional Information Sources, Inventories, and Directories
(CISID) Data Base and Congressional Sourcebook Series**2/3 REPORT TITLE/SUBTITLE**

Freedom of Information Act Annual Report

4. AGENCY CONTROL NUMBER
(for report)

AGCYCN:

5A. AGENCY NAME

Central Intelligence Agency

6A. PREPARER (NAME)

STAT

B. OFFICE NAMEOffice of Legislative
Liaison

ACONTN:

7. PREPARER (A.C. TEL. NO.)

STAT

ACONTN:

AGNAME:

8. GEOGRAPHIC RELEVANCE (CHECK ALL APPLICABLE)☒ International/Foreign☐ State☐ Congressional District☐ Not Applicable☒ National☐ County☐ Standard Metro. Stat. Area (SMSA)☐ City☐ Other _____

GCODE:

Specify

9. AVAILABILITY (OF REPORT)☒ Agency
(i.e. Preparer)☐ GPO☐ NTIS☐ Other _____☐ Not Publicly Available
(Internal Use Only)

AVAIL:

Specify

10. BUDGET REFERENCE(S)

Identification Code(s)
(Available from Budget Appendix)Account Title(s)
(Available from Budget Appendix)

OMBACT:

10. BUDGET REFERENCE(S)

11. AUTHORIZING LEGISLATION

11. AUTHORIZING LEGISLATION

Public Law Number (#)

Public Law Name (\$)

Statutes-at-Large (+)

U.S. Code Citation (*)

1	93-502 SEC 3	Freedom of Information Act	88 STAT 1561	5 USC 552 (d)
2	SEC		STAT	USC
3	SEC		STAT	USC

Non-Statutory Authority (\$)☐ Voluntary☐ Congressional Document (Specify) _____☐ Letter (Specify) _____☐ Other (Specify) _____

LEG:

NLEG:

12. ADMINISTRATIVE DATA..A. Report Frequency AnnualB. Report Due Date 1 March

C. Security Status

☐ Unclassified☐ Classified

D. Costs

Development _____

Operational _____

Staff Hours _____

FREQ:

FREQC:

DUDAT:

DUDATC:

CLASS:

DEVCOST:

OPCOST:

STAFFHR:

GAO use only

Analyst _____

Date _____

GAO Form 510 (Rev. 4/82)

Accession Number

R

13. CONGRESSIONAL RELEVANCE House Authorizing Committee	Committee Code	Senate Authorizing Committee	Committee Code
Permanent Select Committee on Intelligence		Select Committee on Intelligence	
	CRCODE::		CRCODE::

14. ABSTRACT (CONTENT OF ACTUAL REPORT)

ABST::

15. INDEX TERMS (GAO USE ONLY)

TERMS::

IDEN::

16A. SYNOPSIS OF REPORTING REQUIREMENTS (GAO Use Only)

RQMT::

B. CONGRESSIONAL ACTION REQUIRED (GAO Use Only)

CONACT::

17. EVALUATION QUESTIONS

A. Do you use this report in your internal management?

☒ Yes☐ No

B. Would you prepare this report if the Congress did not require it?

☒ Yes☐ No

C. Have you any evidence of use of this report by Congress?

☒ Yes☐ No

D. Do you consider this report burdensome to prepare?

☐ Yes☒ No

If so, explain _____

E. Is the information in this report duplicated in other

Documents sent to the Congress?, If so, identify _____

☐ Yes☒ No

F. Do you recommend that the requirement for this report be abolished or modified? If so, explain _____

☐ Yes☒ No

EVALDC::

EVALDC::

18. REMARKS

REMARKS:

CONGRESSIONAL REPORTING REQUIREMENTS

Annual inventory conducted by General Accounting Office (GAO)

1. ACCESSION NUMBER (GAO USE ONLY)ACC: **R**For: Congressional Information Sources, Inventories, and Directories
(CISID) Data Base and Congressional Sourcebook Series**2/3 REPORT TITLE/SUBTITLE**

Intelligence Identities Protection

TITLE:

AGCYCN:

4. AGENCY CONTROL NUMBER
(for report)**5A. AGENCY NAME**

Central Intelligence Agency

6A. PREPARER (NAME)

STAT

B. OFFICE NAMEOffice of Legislative
Liaison**B. Bureau Name****7. PREPARER (A.C., TEL. NO.)**

STAT

AGNAME:

ACC

8. GEOGRAPHIC RELEVANCE (CHECK ALL APPLICABLE)☒ International/Foreign☐ State☐ Congressional District☐ Not Applicable☐ National☐ County☐ Standard Metro. Stat. Area (SMSA)☐ City☐ Other

Specify

GCODE:

9. AVAILABILITY (OF REPORT)☐ Agency
(i.e. Preparer)☐ GPO☐ NTIS☐ Other☒ Not Publicly Available
(Internal Use Only)

AVAIL:

Specify

10. BUDGET REFERENCES

Identification Code(s)
(Available from Budget Appendix)Account Title(s)
(Available from Budget Appendix)

10. BUDGET REFERENCES

OMBACT:

11. AUTHORIZING LEGISLATION

Public Law Number (#)

Public Law Name (\$)

Statutes-at-Large (+)

U.S. Code Citation (*)

1	97-200 SEC 603	Intelligence Identities Protection Act of 1982	96 STAT 122	50 USC 423
2	SEC		STAT	USC
3	SEC		STAT	USC

11. AUTHORIZING LEGISLATION

Non-Statutory Authority (&)☐ Voluntary☐ Congressional Document (Specify) _____☐ Letter (Specify) _____☐ Other (Specify) _____

LEG:

NLEG:

12. ADMINISTRATIVE DATA..

A. Report Frequency

Annual

B. Report Due Date

1 February

C. Security Status

☐ Unclassified☐ Classified

D. Costs

Development _____

Operational _____

Staff Hours _____

FREQ:

FREQC:

DUDAT:

DUDATC:

CLASS:

DEVCOST:

OPCOST:

STAFFHR:

GAO use only

Analyst _____

Date _____

GAO Form 510 (Rev. 4/82)

Accession Number

R

13. CONGRESSIONAL RELEVANCE

House Authorizing Committee	Committee Code	Senate Authorizing Committee	Committee Code
Permanent Select Committee on Intelligence		Select Committee on Intelligence	
	CRCODE::		CRCODE::

14. ABSTRACT (CONTENT OF ACTUAL REPORT)

ABST::

15. INDEX TERMS (GAO USE ONLY)

TERMS::

IDEN::

16A. SYNOPSIS OF REPORTING REQUIREMENTS (GAO Use Only)

RQMT::

B. CONGRESSIONAL ACTION REQUIRED (GAO Use Only)

CONACT::

17. EVALUATION QUESTIONS

- A. Do you use this report in your internal management?
 B. Would you prepare this report if the Congress did not require it?
 C. Have you any evidence of use of this report by Congress?
 D. Do you consider this report burdensome to prepare?
 If so, explain _____

☐ Yes ☒ No
☐ Yes ☒ No
☒ Yes ☐ No
☐ Yes ☒ No

- E. Is the information in this report duplicated in other Documents sent to the Congress?, If so, identify _____

☐ Yes ☒ No

- F. Do you recommend that the requirement for this report be abolished or modified? If so, explain _____

☐ Yes ☒ No

EVALDC::

EVALDC::

18. REMARKS

REMARKS:

Approved For Release 2008/12/03 : CIA-RDP90B01370R000200350008-4

CONGRESSIONAL INFORMATION SOURCES INVENTORIES AND DIRECTORIES DATA BASE
CONGRESSIONAL REPORTING REQUIREMENTS FILE 84 UPDATE

GAO/PAD
REPORT# CR001
01/24/84
ENCLOSURE II

FORM 510
LD NO FIELD NAME

1* ACCESSION NUMBER : 00102001

5* AGENCY NAME (DEPT:BUR) : Executive Office of the President: Central Intelligence Agency

2* REPORT TITLE : [Intelligence Operations in Foreign Countries for Which Prior Notice Has Not Been Given and Reasons for Not Giving Prior Notice]

4 REPORT CONTROL NUMBER

6* PREPARER NAME

7* PREPARER PHONE

8 GEOGRAPHIC RELEVANCE : International/Foreign

9 AVAILABILITY (OF REPORT): Internal Use Only

0* BUDGET REFERENCE(S)

* BUDGET ID CODE/TITLE : 95-0400-0-1-054/Intelligence community staff

1* AUTHORIZ. LEGISLATION 1 : National Security Act of 1947, as amended; P.L. 96-450, title IV, sec. 407(b)(1); 94 Stat. 1981; 50 U.S.C. 413(b)

NON-STAT AUTHORITY :

NON-STAT AUTHORITY CODE :

2* ADMIN. AND COST DATA

* FREQUENCY OF SUBMISSION : As Required

* REPORT DUE DATE : Upon occurrence of event/

* SECURITY CLASSIFICATION : Unclassified

COSTS (DEVELOPMENTAL) :

COSTS (OPERATIONAL) :

COSTS (HOURS) :

3* CONGRESSIONAL RELEVANCE : ~~House Committee on Armed Services~~; Senate Select Committee on Intelligence; ~~Senate Committee on Armed Services~~; House Permanent Select Committee on Intelligence

CONGRESSIONAL CODE : HSE00500; SEN06800; SEN00500; HSE04700

4* ABSTRACT OF REPORT

6* SYNOPSIS OF REQUIREMENT : The President shall fully inform the intelligence committees in a timely fashion of intelligence operations in foreign countries, other than activities intended solely for obtaining necessary intelligence, for which prior notice was not given, and shall provide a statement of the reasons for not giving prior notice.

CONG. ACTION REQUIRED :

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Approved For Release 2008/12/03 : CIA-RDP90B01370R000200350008-4

Approved For Release 2008/12/03 : CIA-RDP90B01370R000200350008-4

CONGRESSIONAL INFORMATION SOURCES INVENTORIES AND DIRECTORIES DATA BASE
CONGRESSIONAL REPORTING REQUIREMENTS FILE 84 UPDATE

GAO/PAD
REPORT# CR001
01/24/84
ENCLOSURE II

GAO FORM 510
FIELD NO

FIELD NAME

STAT

1* ACCESSION NUMBER : 00102002

5* AGENCY NAME (DEPT: BUR) : Executive Office of the President: Central Intelligence Agency

2* REPORT TITLE : [Intelligence Activities]

4 REPORT CONTROL NUMBER :

6* PREPARER NAME :

7* PREPARER PHONE :

8 GEOGRAPHIC RELEVANCE : International/Foreign

9 AVAILABILITY (OF REPORT): Internal Use Only

10* BUDGET REFERENCE(S)

* BUDGET ID CODE/TITLE : 95-0400-0-1-054/Intelligence community staff

11* AUTHORIZ. LEGISLATION 1 : National Security Act of 1947, as amended; P.L. 96-450, sec. 407(b)(1); 94 Stat. 1981; 50 U.S.C. 413(a)(1)

NON-STAT AUTHORITY :

NON-STAT AUTHORITY CODE :

12* ADMIN. AND COST DATA

* FREQUENCY OF SUBMISSION : As Required

* REPORT DUE DATE : ~~To the extent consistent with all applicable authorities and duties/~~ *Unspecified*

* SECURITY CLASSIFICATION : Unclassified

COSTS (DEVELOPMENTAL) :

COSTS (OPERATIONAL) :

COSTS (HOURS) :

13* CONGRESSIONAL RELEVANCE : ~~House Committee on Armed Services; House Permanent Select Committee on Intelligence; Senate Committee on Armed Services; Senate Select Committee on Intelligence~~

CONGRESSIONAL CODE : HSE00500; HSE04700; SEN00500; SEN06800

14* ABSTRACT OF REPORT :

16* SYNOPSIS OF REQUIREMENT : To the extent consistent with all applicable authorities and duties, and to the extent consistent with due regard for the protection from unauthorized disclosure of classified information and information relating to intelligence sources and methods, the Director of Central Intelligence shall keep the Select Committee on Intelligence of the Senate and the Permanent Select Committee on Intelligence of the House of Representatives fully and currently informed of all intelligence activities which are the responsibility of, are engaged in by, or are carried out for or on behalf of, any department, agency, or entity of the United States, including any significant

CONGRESSIONAL INFORMATION SOURCES INVENTORIES AND DIRECTORIES DATA BASE
CONGRESSIONAL REPORTING REQUIREMENTS FILE 84 UPDATE

GAO/PAD
REPORT# CR001
01/24/84
ENCLOSURE II

GAO FORM 510
FIELD NO

FIELD NAME

1*	ACCESSION NUMBER	: 00102003	
5*	AGENCY NAME (DEPT: BUR)	: Executive Office of the President: Central Intelligence Agency	
2*	REPORT TITLE	: [Illegal Intelligence Activity or Failure and Corrective Action]	
4	REPORT CONTROL NUMBER		
6*	PREPARER NAME		STAT
7*	PREPARER PHONE		
8	GEOGRAPHIC RELEVANCE	: International/Foreign	
9	AVAILABILITY (OF REPORT)	: Internal Use Only	
10*	BUDGET REFERENCE(S)		
*	BUDGET ID CODE/TITLE	: 95-0400-0-1-054/Intelligence community staff	
11*	AUTHORIZ. LEGISLATION 1	: National Security Act of 1947, as amended; P.L. 96-450, title IV, sec. 407(b)(1); 94 Stat. 19	
		: 50 U.S.C. 413(a)(3)	
	NON-STAT AUTHORITY	:	
	NON-STAT AUTHORITY CODE	:	
12*	ADMIN. AND COST DATA		
*	FREQUENCY OF SUBMISSION	: As Required	
*	REPORT DUE DATE	: To the extent consistent with all applicable authorities and duties Upon occurrence event	
*	SECURITY CLASSIFICATION	: Unclassified	
	COSTS (DEVELOPMENTAL)	:	
	COSTS (OPERATIONAL)	:	
	COSTS (HOURS)	:	
13*	CONGRESSIONAL RELEVANCE	: Senate Select Committee on Intelligence; House Committee on Armed Services ; Senate Committee on Armed Services; House Permanent Select Committee on Intelligence	
	CONGRESSIONAL CODE	: SEN06800; HSE00500; SEN00500; HSE04700	
14*	ABSTRACT OF REPORT	:	
16*	SYNOPSIS OF REQUIREMENT	: To the extent consistent with all applicable authorities and duties, and to the extent consistent with due regard for the protection from unauthorized disclosure of classified information and information relating to intelligence sources and methods, the Director of Central Intelligence shall report in a timely fashion to the Select Committee on Intelligence of the Senate and the Permanent Select Committee on Intelligence of the House of Representatives any illegal intelligence activity or significant intelligence failure and any corrective action that has been taken or is planned to be taken in connection with such illegal activity or failure.	



UNITED STATES GENERAL ACCOUNTING OFFICE

WASHINGTON, D.C. 20548

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[Redacted]
Office of Legislative Liaison
Central Intelligence Agency

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Dear Mr. [Redacted]

We are initiating the 1984 update of the Congressional Information Sources Inventories and Directories (CISID) data base. CISID was developed and is maintained by the General Accounting Office (GAO) to facilitate congressional oversight and budgetary control as mandated by 31 U.S.C. 1113 and is updated annually.

The information in this data base is used by congressional analysts to identify sources of fiscal, budgetary, and program-related information. The data are also used to publish the Congressional Sourcebook Series. Congressional analysts also have access to the data on the Library of Congress' SCORPIO information retrieval system.

The data base currently consists of 4 files--Requirements for Reports to the Congress, Federal Information Systems, Information Sources and Resources, and Federal Evaluations. To provide current information to the Congress in these areas, we need your continued assistance.

Therefore, we have enclosed copies of the following materials which should be used to update information in the data base for your organization:

- procedures and instructions to assist you in updating the computer listings of previously submitted information and in filling out the forms for new data (enclosure 1);
- computer listing(s) of entries in the data base files for your agency as of the previous (1983) update (enclosure 2);
- forms for submitting new information (enclosure 3); and,

--computer listing of new reporting requirements identified from the 97th Congress, Second Session, and the 98th Congress, First Session (enclosure 4). You will receive this listing only if new reporting requirements were identified for your agency.

You have been designated as the "contact" person by your agency to update the information in one or more files of the data base. If there has been any change in this assignment, we would appreciate it if you would inform us. Please review and update the enclosed computer listing(s) and prepare forms to submit new information using the instructions and procedures provided. The Federal Evaluations listing, included as a part of enclosure 2, is for information purposes only and should not be returned. The updated information and newly completed forms should be returned to us by March 30, 1984.

Several agencies have indicated to us that they maintain computerized inventories of this information and that they would like to transmit it to us via printout or tape to eliminate some duplicate work in responding to our request. We have been able to accommodate most of these requests. If your agency would like to explore with GAO the feasibility of submitting data in this manner, we would be happy to discuss this with you.

If you have any questions regarding the update process, please contact the GAO analyst responsible for your agency. The analyst's name and telephone number are listed in the GAO Analyst/Agency Assignment List included with the instructions.

We appreciate your assistance in providing this important information for the Congress.

Sincerely,



Margaret Dyess
Group Director

Enclosures

CONGRESSIONAL INFORMATION SOURCES
INVENTORIES AND DIRECTORIES (CISID) DATA BASE

CONGRESSIONAL REPORTING REQUIREMENTS FILE

PROCEDURES AND INSTRUCTIONS FOR UPDATING AND SUBMITTING
DATA ON CONGRESSIONAL REPORTING REQUIREMENTS

The following material details procedures and instructions for updating previously submitted information and preparing new requirements for reports to the Congress as required by 31 U.S.C. 1113 (formerly Title VIII of the Congressional Budget and Impoundment Control Act of 1974).

Information on reporting requirements is collected annually by the General Accounting Office (GAO) to facilitate congressional oversight and to update the Congressional Information Sources Inventories and Directories (CISID) data base. CISID was developed to assist congressional analysts in identifying congressional information sources and in monitoring the various reporting requirements of the Congress.

Agencies should prepare a typed GAO Form 510 for each new reporting requirement in accordance with the definitions and instructions below. The instructions include a completed sample GAO Form 510 (see pp. R-9 and 10) to assist you.

DEFINITIONS

Report

For the purpose of this inventory, a report is any information transmitted on a recurring or a one-time basis to the Congress in a narrative, tabular, or graphic form.

Reporting Requirement

A reporting requirement is any official congressional request (as contained in a Public Law, U.S. Code, letter, telephone conversation, or any other official means of communication) to submit information to the Congress on a periodic or contingency basis. This includes all reports transmitted directly to the Congress, and those sent to the President for transmittal to the Congress. Intra-agency reports, interagency feeder reports, and oral reports are excluded from this survey.

Several reporting requirements may be contained within a single report document. For example, an agency's annual report may respond to various reporting requirements. Under these circumstances, a separate Form 510 should be prepared for each requirement for the purpose of identifying and evaluating each requirement.

INSTRUCTIONS FOR PREPARING AND SUBMITTING
GAO FORM(S) 510--CONGRESSIONAL REPORTING REQUIREMENTS

Your completed forms, updated computer listing(s), and any questions or comments about this inventory should be addressed to the GAO analyst responsible for your agency as indicated on pages R-13 thru 15 of these instructions. Your agency's submissions are required to be returned to GAO no later than March 30, 1984 at the following address:

U.S. General Accounting Office
 Accounting and Financial Management Division
 Program and Budget Information Group
 441 G Street, NW., Room 6015
 Washington, DC 20548

The following general and detailed instructions pertain to (1) new reporting requirement(s), (2) preparing GAO Form(s) 510, and (3) updating computer listing(s) of previously reported information.

(1) New Reporting Requirements

We have included a computer listing containing new recurring and one-time reporting requirements for which your agency is responsible. These requirements were identified through research of legislation enacted during the second session of the 97th Congress and the first session of the 98th Congress. This listing does not purport to be all inclusive of your new requirements. Therefore, we ask that you conduct a review to identify any requirements not identified in the legislative research. For example, you may have some nonstatutory requirements for committees or members of Congress or some previously inadvertently unreported requirements. A supply of GAO Forms 510 are included for your use.

Review the data on the computer listing and complete the remaining data fields in accordance with the instructions below.

(2) Preparing GAO Form(s) 510

A GAO Form 510 should be prepared for each new reporting requirement. Included are those identified through the legislative research (See item (1) above), as well as any of those reporting requirements not previously submitted to GAO. Provide all of the information requested on the GAO Form 510 in accordance with instructions provided below. In those cases where a report has not yet been prepared, please provide your best estimate for each requested data element. For example, please identify the office which is most likely to prepare the report, provide a "proposed" title for the report, identify the House and Senate authorizing committees, etc. Please indicate in the "REMARKS" section of the GAO Form 510 (Data Field 18) if the report has not yet been prepared to fulfill the respective requirement.

(3) Updating Previously Reported Information

A computer listing(s) of information previously reported by your agency is also provided. Review this information for accuracy. Any changes to this information should be printed directly on the listing. If there is no change for a respective entry, print "NO CHANGE" at the top of the listing. If an entire entry is to be deleted, print "DELETE" at the top of the listing and in the "remarks" section of the listing (Data Field 18), provide a brief statement citing the reason for deleting the record. The entire computer listing(s) should be returned to us whether or not there are changes.

Several agencies have indicated to us that they maintain computerized inventories of this information and that they would like to transmit it to us via printout or tapes to eliminate some duplicate work in responding to our request. We have been able to accommodate most of these requests. If your agency would like to explore with GAO the feasibility of submitting data in this manner, we can arrange a meeting.

Detailed Instructions for Preparing
GAO Form(s) 510--Congressional
Reporting Requirements

Each numbered item below corresponds with a numbered data field on the GAO Form 510. Additional copies of forms and instructions may be obtained from the GAO analyst responsible for your agency (see pp. R-13 thru 15 of these instructions).

Data FieldData Field Name

- | | |
|------|--|
| 1. | <u>ACCESSION NUMBER:</u> GAO USE ONLY. |
| 2/3. | <u>REPORT TITLE/SUBTITLE:</u> Provide the title of the report. If the report title is classified, provide an unclassified short title. (The titles contained in the listing of new requirements were provided by congressional staff.) If a report has not yet been prepared to fulfill a reporting requirement, provide a "proposed" title, and indicate a statement to this effect in the "Remarks" (Data Field 18). |
| 4. | <u>AGENCY CONTROL NUMBER (for report):</u> If applicable, provide internally assigned referencing number or code. |

Data FieldData Field Name

5A/B.

AGENCY/BUREAU NAME: Provide the name of your agency/bureau/department or comparable organizational unit that is responsible for the report. Please do not use office symbols or intra-agency abbreviations.

6A/B.

PREPARER/OFFICE NAME: In order that we may have a specific contact person to respond to questions about the report, please provide the name of the individual and responsible office who can best provide additional information about the report.

7.

PREPARER (TELE. NO.): Provide the telephone number of the preparer as designated in data Field 6 above.

8.

GEOGRAPHIC RELEVANCE (Check all applicable): If the report contains data on specific geographic areas or political subdivisions, e.g., international, national, state, county, city or congressional district, indicate the geographical area(s) in which the data can be identified. A report may contain data that can be identified at more than one geographic level. For example, if national information is indicated, the report may also include data at the state level, or information maintained on states may also include counties, etc.

9.

AVAILABILITY (of report): Indicate whether the report is publicly available through: your agency, the Government Printing Office (GPO), the National Technical Information Service (NTIS), some other source, or whether it is for internal use only.

10.

BUDGET REFERENCE(S): List the 11-digit Budget Identification Code(s), and the associated Budget Account Title(s) that are relevant to the report. This budget information is located in the

Data FieldData Field Name

Budget of the U.S. Government, Appendix. For purposes of this inventory you should use the Budget Appendix for FY 1985. To assist you in completing each column of this data field, see sample pages from the Budget Appendix (pp. R-11 and 12). The information on this sample page was used to complete Data Field 10 of the sample GAO Form 510, p. R-9.

11.

AUTHORIZING LEGISLATION:

STATUTORY AUTHORITY - Provide all three references (Public Law number, United States Code (U.S.C.) citation, and Statutes-at-Large) if available. The Public Law Number should include both the public law number and section, if applicable, e.g., P.L. 91-323, sec. 2(a). The Public Law Name, if any, is the official name assigned to a law and by which the law is known, e.g., North Atlantic Treaty Organization Mutual Support Act of 1979. For a reporting requirement contained in a section amending an earlier law, cite the name of the earlier law, followed by the words "as amended". If the Public Law does not have an official name, cite "Act of (month, day, and year of enactment)". The U.S.C. citation should include both title and section e.g., 10 U.S.C. App. 2330. The Statutes-at-Large citation should include the volume and page number in the Statutes, e.g., 94 Stat. 1018. (See Data Field 11 of sample GAO Form 510, p. R-9.)

NON-STATUTORY AUTHORIZATION - Identify the authority for the non-statutory report. For example, if the non-statutory authority is a congressional document, specify the document type, issuance date, the document number and page, e.g., "Page 251 of House Report 1339, July 4, 1976." If the

Data FieldData Field Name

non-statutory authority is in the form of a letter, specify the date and originator e.g., "letter of June 30, 1977, from the Senate Committee on Governmental Affairs" etc.

12.

ADMINISTRATIVE DATA:

- A. REPORT FREQUENCY - Provide the frequency of submission for the reporting requirement as stated in the legislation.
- B. REPORT DUE DATE - Provide the due date of the report as stated in the legislation for the reporting requirement; e.g., June 30, or 120 days after the end of FY. Leave this space blank for those reports that are stated in the requirements as "contingent upon an event" or "as required". If your agency submits the report on a date other than that contained in the legislation, please specify in the "Remarks" (Data Field 18).
- C. SECURITY STATUS - Please indicate if the report is unclassified or classified.
- D. COSTS:
 - DEVELOPMENTAL COSTS - Provide the developmental costs for this report. To compute developmental costs, we recommend that you use page 2 of the GSA/NARS Guide to Estimating Reporting Costs, December 1973. If you do not use this reference guide, please provide us a copy of your methodology for computing costs. In the event a report has not been prepared, leave this space blank.
 - OPERATIONAL COSTS - Please provide the annual operational costs for preparing the report.

Data Field

Data Field Name

To compute operational costs, we recommend that you use the factors on page 3 of the GSA/NARS Guide to Estimating Reporting Costs, December 1973. If you do not use this referenced guide, please provide us a copy of your methodology for computing costs. In the event a report has not been prepared, leave this space blank.

STAFF HOURS - Please provide the total staff hours required to prepare this report.

13. CONGRESSIONAL RELEVANCE: Identify the congressional oversight committees for the report. Although many statutory requirements stipulate reports are to be sent to the Clerk of the House or the Secretary of the Senate, those offices generally distribute all reports to the oversight committees who are the ultimate users of reports. Therefore, please designate the specific congressional oversight committees, when known.

14. ABSTRACT (content of actual report): Provide a brief description of the report content. Do not merely repeat the report title, but rather provide a summary of the contents of the report, including the key words and elements of the report. In the case of a classified report, provide an unclassified summary. If the space provided is insufficient, the abstract should be continued on an attached separate sheet of paper. (Please limit abstract to 300 words.)

15. INDEX TERMS: GAO USE ONLY

16. A. SYNOPSIS OF REPORTING REQUIREMENTS: GAO USE ONLY
- B. CONGRESSIONAL ACTION REQUIRED: GAO USE ONLY

Data Field

Data Field Name

17.

EVALUATION QUESTIONS: Please respond either "Yes" or "No" to the questions 17A thru 17F. Questions D, E, and F require an explanation for a "Yes" response. Please indicate responses to these questions in the space provided. If the provided space is insufficient, explanations may be continued on an attached separate sheet of paper.

18.

REMARKS: Use this space to provide explanatory or qualifying information.

CONGRESSIONAL REPORTING REQUIREMENTS

Annual inventory conducted by General Accounting Office (GAO)

1. ACCESSION NUMBER (GAO USE ONLY)ACC:: **R**For: Congressional Information Sources, Inventories, and Directories
(CISID) Data Base and Congressional Sourcebook Series**2/3 REPORT TITLE/SUBTITLE**Report on NATO Acquisition and Cross-Servicing
Agreements Activities

TITLE::

4. AGENCY CONTROL NUMBER
(for report)

DD-COMP(A)1570

AGCYCN::

5A. AGENCY NAME

Department of Defense

6A. PREPARER (NAME)

Mary Louise Wilen

B. OFFICE NAME

WHS

B. Bureau Name

N/A

ACONTN::

7. PREPARER (A.G., TEL. NO.)

(202) 694-5858

ACONTT::

8. GEOGRAPHIC RELEVANCE (CHECK ALL APPLICABLE)☒ International/Foreign☐ State☐ Congressional District☐ Not Applicable☐ National☐ County☐ Standard Metro. Stat. Area (SMSA)☐ City☐ Other

GCODE::

Specify

9. AVAILABILITY (OF REPORT)☒ Agency
(i.e. Preparer)☐ GPO
☐ NTIS
☐ Other☐ Not Publicly Available
(Internal Use Only)

AVAIL::

Specify

10. BUDGET REFERENCE(S)

Identification Code(s)
(Available from Budget Appendix)

97-0804-0-1-051

Account Title(s)
(Available from Budget Appendix)

North Atlantic Treaty Organization Infrastructure

10. BUDGET REFERENCES

OMBACT::

11. AUTHORIZING LEGISLATION

Public Law Number (#)

Public Law Name (\$)

Statutes-at-Large (+)

U.S. Code Citation (*)

1	96-323 SEC 2 (a)	North Atlantic Treaty Organization Mutual Support Act of 1979	94 STAT 1018	10 USC 2330
2	SEC		STAT	USC
3	SEC		STAT	USC

11. AUTHORIZING LEGISLATION

Non-Statutory Authority (\$)

|| Voluntary

|| Congressional Document (Specify) _____

|| Letter (Specify) _____

|| Other (Specify) _____

LEG::

NLEG::

12. ADMINISTRATIVE DATA..

- A. Report Frequency Annually
- B. Report Due Date _____
- C. Security Status ☐ Unclassified ☐ Classified
- D. Costs

Development 165,000

Operational 16,150

Staff Hours 540

FREQ::

FREQC::

DUDAT::

DUDATC::

CLASS::

DEVCOST::

OPCOST::

STAFFHR::

GAO use only

Analyst _____

Date _____

Authorization: 31 U.S.C. 1113

GAO Form 510 (Rev. 4/82)

Accession Number

R

13. CONGRESSIONAL RELEVANCE House Authorizing Committee	Committee Code	Senate Authorizing Committee	Committee Code
Armed Services		Foreign Relations	
Foreign Affairs		Armed Services	
	CRCODE::		CRCODE::

14. ABSTRACT (CONTENT OF ACTUAL REPORT)

The document contains a report of actual transfers during the FY from the Department of Defense (DOD) to other North Atlantic Treaty Organization (NATO) countries under authority of the North Atlantic Treaty Organization Mutual Support Act of 1979 by appropriation accounts, a report of actual transfers during the FY from other NATO countries to DOD under authority of the act by appropriations, and an estimate of transfers to be made in the forthcoming FY by appropriation accounts.

ABST::

15. INDEX TERMS (GAO USE ONLY)

TERMS::

IDEN::

16A. SYNOPSIS OF REPORTING REQUIREMENTS (GAO Use Only)

RQMT::

B. CONGRESSIONAL ACTION REQUIRED (GAO Use Only)

CONACT::

17. EVALUATION QUESTIONS

- A. Do you use this report in your internal management?
 B. Would you prepare this report if the Congress did not require it?
 C. Have you any evidence of use of this report by Congress?
 D. Do you consider this report burdensome to prepare?

☒ Yes ☐ No
☒ Yes ☐ No
☐ Yes ☒ No
☐ Yes ☒ No

If so, explain _____

- E. Is the information in this report duplicated in other Documents sent to the Congress?, If so, identify _____

☐ Yes ☒ No

- F. Do you recommend that the requirement for this report be abolished or modified? If so, explain _____

☐ Yes ☒ No

EVALDC::

EVALDC::

18. REMARKS

REMARKS::

DEPARTMENT OF DEFENSE

SOURCE OF DATA FOR FIELD 10

I-G44

MILITARY CONSTRUCTION
Federal Funds—Continued

APPENDIX TO THE BUDGET FOR FISCAL YEAR 1984

General and special funds—Continued

MILITARY CONSTRUCTION, AIR FORCE—Continued

Object Classification (in thousands of dollars)—Continued

Identification code	57-3300-0-1-051	1982 actual	1983 est.	1984 est.
99.9	Total obligations.....	1,205,371	1,568,833	2,017,211
Obligations are distributed as follows:				
Defense—Military:				
Army.....		844,624	951,323	1,353,104
Navy.....		238,212	325,366	372,180
Air Force.....		122,035	291,644	291,427
Department of Transportation.....		500	500	500

MILITARY CONSTRUCTION, DEFENSE AGENCIES

(INCLUDING TRANSFER OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real

property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, [\$339,770,000] \$398,400,000, to remain available until [September 30, 1987] expended, and, in addition, not to exceed \$20,000,000, to be derived by transfer from the appropriation "Research, development, test, and evaluation, Defense Agencies" as determined by the Secretary of Defense, to be merged with and to be available for the same purposes, and for the same time period, as this appropriation: *Provided*, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction as he may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided further*, That of the amount appropriated, not to exceed [\$14,500,000] \$33,000,000 shall be available for study, planning, design, architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of his determination and the reasons therefor. (10 U.S.C. 2802-05, 2807, 2852-54, 2857; Military Construction Appropriation Act, 1983; additional authorizing legislation to be proposed for \$398,400,000.)

Program and Financing (in thousands of dollars)

Identification code	97-0500-0-1-051	Budget plan (amounts for construction actions programed)			Obligations		
		1982 actual	1983 estimate	1984 estimate	1982 actual	1983 estimate	1984 estimate
Program by activities:							
	1. Major construction.....	266,780	311,810	361,400	260,351	274,590	324,665
	2. Minor construction.....	4,710	13,460	4,000	3,118	15,971	7,015
	3. Planning.....	28,000	14,500	33,000	13,345	31,938	38,500
	4. Support activities.....				13		
10.00	Total.....	299,490	339,770	398,400	276,827	322,499	370,180
Financing:							
17.00					-25,541		
21.40					-83,151	-129,005	-146,276
21.40					-12,000		
21.40							
23.40					14,350		
24.40							
39.00	Budget authority.....	299,490	339,770	398,400	299,490	339,770	398,400
Budget authority:							
40.00	Appropriation.....	306,490	339,770	398,400	306,490	339,770	398,400
41.00	Transferred to other accounts.....	-7,000			-7,000		
43.00	Appropriation (adjusted).....	299,490	339,770	398,400	299,490	339,770	398,400
Relation of obligations to outlays:							
71.00	Obligations incurred, net.....				276,827	322,499	370,180
72.40	Obligated balance, start of year.....				268,164	298,760	360,559
74.40	Obligated balance, end of year.....				-298,760	-360,559	-470,339
78.00	Adjustments in unexpired accounts.....				-25,541		
90.00	Outlays.....				220,690	260,700	260,400

Object Classification (in thousands of dollars)

Identification code	97-0500-0-1-051	1982 actual	1983 est.	1984 est.
21.0	Travel and transportation of persons.....	553	700	650
25.0	Other services: Other.....	19,377	25,000	22,300
32.0	Lands and structures.....	256,897	296,799	347,230
99.9	Total obligations.....	276,827	322,499	370,180

NORTH ATLANTIC TREATY ORGANIZATION INFRASTRUCTURE

For the United States share of the cost of multilateral programs for the acquisition or construction of military facilities and installations (including international military headquarters) for the collective defense of the North Atlantic Treaty Area as authorized in military construction Acts and section 2806 of title 10, United States Code, [\$325,000,000] \$150,000,000, to remain available until expended. (Military Construction Appropriation Act, 1983; additional authorizing legislation to be proposed.)

DEPARTMENT OF DEFENSE—MILITARY

SOURCE OF DATA FOR FIELD 10

MILITARY CONSTRUCTION—Continued
Federal Funds—Continued

I-G45

Program and Financing (in thousands of dollars)

Identification code	97-0804-0-1-051	Budget plan (amounts for construction actions programmed)			Obligations		
		1982 actual	1983 estimate	1984 estimate	1982 actual	1983 estimate	1984 estimate
Program by activities:							
10.00	NATO infrastructure	309,530	300,000	300,000	173,166	310,000	300,000
Financing:							
14.00	Offsetting collections from non-Federal sources	-39,530	-40,000		-39,530	-40,000	
	Unobligated balance available, start of year:						
21.40	For completion of prior year budget plans						-169,705
21.40	Available to finance new budget plans						-153,000
21.40	Reprogramming from prior year budget plans	-10,000					
	Unobligated balance available, end of year:						
24.40	For completion of prior year budget plans						169,705
24.40	Available to finance subsequent year budget plans	85,000					
40.00	Budget authority (appropriation)	345,000					150,000
Relation of obligations to outlays:							
71.00	Obligations incurred, net				133,636	270,000	300,000
72.40	Obligated balance, start of year				482,532	504,715	594,715
74.40	Obligated balance, end of year				-504,715	-594,715	-654,715
90.00	Outlays				111,452	180,000	240,000

97-0804-0-1-051 (OMB Identification Code)
DEPARTMENT OF DEFENSE
(Agency/Bureau Name)
NORTH ATLANTIC TREATY ORGANIZATION
INFRASTRUCTURE (OMB Account Title)
(See prior page)

97-0804-0-1-051 (OMB Identification Code)

DEPARTMENT OF DEFENSE

(Agency/Bureau Name)

NORTH ATLANTIC TREATY ORGANIZATION
INFRASTRUCTURE (OMB Account Title)

(See prior page)

Object Classification (in thousands of dollars)

Identification code		1982 actual	1983 est.	1984 est.
25.0	Other services: Other	10,389	18,600	18,000
32.0	Lands and structures	162,777	291,400	282,000
99.9	Total obligations	173,166	310,000	300,000

MILITARY CONSTRUCTION, ARMY NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contribution therefor, as authorized by chapter 133 of title 10, United States Code, [as amended,] and military construction authorization Acts, [\$54,958,000] \$55,300,000, to remain available until [September 30, 1987] expended. (Military Construction Appropriation Act, 1983; additional authorizing legislation to be proposed for \$55,300,000.)

Program and Financing (in thousands of dollars)

Identification code		Budget plan (amounts for construction actions programmed)			Obligations		
		1982 actual	1983 estimate	1984 estimate	1982 actual	1983 estimate	1984 estimate
Program by activities:							
	1. Major construction:						
	(a) Armory	25,816	27,108	21,952	15,240	33,227	22,489
	(b) Non-armory	35,342	16,850	22,548	22,693	28,380	21,870
	2. Minor construction	4,000	8,000	7,600	2,933	8,740	8,290
	3. Planning	2,500	3,000	3,200	2,205	4,095	3,420
10.00	Total	67,658	54,958	55,300	43,071	74,442	56,069
Financing:							
17.00	Recovery of prior year obligations				- 244		
21.40	Unobligated balance available, start of year: For completion of prior year budget plans				- 10,070	- 34,902	- 15,418
24.40	Unobligated balance available, end of year: For completion of prior year budget plans				34,902	15,418	14,649
40.00	Budget authority (appropriation)	67,658	54,958	55,300	67,658	54,958	55,300
Relation of obligations to outlays:							
71.00	Obligations incurred, net				43,071	74,442	56,069
72.40	Obligated balance, start of year				32,847	45,744	61,286
74.40	Obligated balance, end of year				- 45,744	- 61,286	- 56,655
78.00	Adjustments in unexpired accounts				- 244		
90.00	Outlays				29,929	58,900	60,700

Object Classification (in thousands of dollars)

Identification code		1982 actual	1983 est.	1984 est.				
31.0	Equipment				8	9	9	
32.0	Lands and structures				35,051	67,297	47,541	
25.0	Other services: Other	7,982	7,111	8,489				
26.0	Supplies and materials	30	25	30				
99.9	Total obligations				43,071	74,442	56,069	

APPENDIX TO THE BUDGET FOR FISCAL YEAR 1984

CONGRESSIONAL INFORMATION SOURCES INVENTORIES AND DIRECTORIES (CISID) DATA BASE
GAO ANALYST/AGENCY ASSIGNMENT LIST

Below is an alphabetical list, by agency name, delineating the GAO contact person assigned to your agency. The numbers immediately following each agency name in the list correspond to the legend below which identifies the name and telephone number of each analyst. Any questions and/or needed assistance concerning the CISID inventories should be directed to the analyst assigned to your agency. However, if the assigned analyst is not available, please direct your inquiries to Mr. Johnny Bowen. Completed forms should be submitted to your assigned analyst no later than March 30, 1984, at the following address:

U.S. General Accounting Office
 Accounting and Financial Management Division
 Program and Budget Information Group
 441 G Street, NW., Room 6015
 Washington, DC 20548

ACTION, 4	Atlantic States Marine Fisheries Commission, 2
Administrative Conference of the United States, 1	Aviation Hall of Fame, Inc., 3
Administrative Office of the United States Courts, 1	Big Brothers/Big Sisters of America, 3
Advisory Commission on Intergovernmental Relations, 6	Blinded Veterans Association, 6
Advisory Council on Historic Preservation, 2	Board for International Broadcasting, 4
Agency for International Development (AID), 6	Board of Foreign Scholarships, 6
Agriculture, Department of, 4	Board on Geographic Names, 6
Alaska Land Use Council, 6	Boy Scouts of America National Council, 3
American Academy and Institute of Arts and Letters, 3	Boy's Clubs of America, 3
American Battle Monuments Commission, 4	Central Intelligence Agency, 4
American Chemical Society, 3	Civil Aeronautics Board, 5
American Historical Association, 3	Civil Air Patrol, 3
American Legion, 3	Commerce, Department of, 5
American National Theater and Academy, 3	Commission of Fine Arts, 5
American Society of International Law, 3	Commission on Civil Rights, 1
American Symphony Orchestra League, 3	Commission on Wartime Relocation and Internment of Civilians, 6
American War Mothers, 6	Committee for Purchase from the Blind and Other Severely Handicapped, 1
AMTRAK, 5	Committee for the Preservation of the White House, 5
Amvets (American Veterans of World War II, Korea and Vietnam), 6	Commodity Futures Trading Commission, 4
Appalachian Regional Commission, 2	Communications Satellite Corporation, 2
Architectural and Transportation Barriers Compliance Board, 5	Conference of State Societies, Washington, District of Columbia, 3
Arms Control and Disarmament Agency, 4	Congress, 5
	Congressional Award Board, 6
	Congressional Budget Office, 5
	Congressional Medal of Honor Society of the U.S.A., 6

Legend:

<u>GAO Analysts</u>	<u>Telephone Number</u>	<u>GAO Analysts</u>	<u>Telephone Number</u>
1--D-Hungerford, Lorraine	202-275-4797	4--Heisler, Joseph	202-275-4797
2--Bobo, Emanuel	202-275-6187	5--Jackson, Marion	202-275-1581
3--Bowen, Johnny	202-275-6187	6--Bowen, Johnny	202-275-6187

Consolidated Rail Corporation, 5
 Consumer Product Safety Commission, 1
 Corporation for Public Broadcasting, 5
 Council on Environmental Quality, 4
 Council on Wage and Price Stability, 4

Daughters of the American Revolution, 6
 Defense, Department of, 4
 Delaware River Basin Commission, 2
 Department (See agency name)
 Disabled American Veterans, 6
 District of Columbia, 4
 Domestic Policy Staff, 4

Education, Department of, 2
 Energy, Department of, 6
 Environmental Protection Agency, 5
 Equal Employment Opportunity
 Commission, 1
 Executive Office of the President, 4
 Export-Import Bank of the U.S., 4

Farm Credit Administration, 4
 Federal Communications Commission, 5
 Federal Council on the Arts and
 the Humanities, 1
 Federal Deposit Insurance Corporation, 4
 Federal Election Commission, 1
 Federal Emergency Management Agency, 6
 Federal Financial Institutions
 Examination Council, 4
 Federal Home Loan Bank Board, 4
 Federal Judicial Center, 3
 Federal Labor Relations Authority, 1
 Federal Maritime Commission, 2
 Federal Mediation and
 Conciliation Service, 1
 Federal Mine Safety and Health
 Review Commission, 2
 Federal Reserve System, 4
 Federal Trade Commission, 5
 Foreign Claims Settlement
 Commission of the U.S. (under
 Department of Justice), 3
 Foundation of the Federal Bar
 Association, 3
 Franklin Delano Roosevelt
 Memorial Commission, 5
 Future Farmers of America, 3

General Services Administration, 6
 Girl Scouts of America, 3
 Gold Star Wives of America, 6
 Gorgas Memorial Institute of
 Tropical and Preventive
 Medicine, Inc., 1
 Government Printing Office, 5

Harry S. Truman Scholarship
 Foundation, 1
 Health and Human Services, Dept. of, 2
 Housing and Urban Development, Dept. of, 1

Interagency Committee on
 Handicapped Research, 2
 (see Equal Employment
 Opportunity Commission)
 Interagency Coordinating Council, 4
 Inter-American Foundation, 2
 Interior, Department of the, 6
 International Communication
 Agency, 6
 International Development
 Cooperation Agency, 6
 Interstate Commerce Commission, 5

Japan-United States Friendship
 Commission, 4
 Jewish War Veterans, U.S.A.,
 National Memorial, Inc., 6
 Joint Commission on Guayule
 Research and Commercialization, 5
 Justice, Department of, 1

Labor, Department of, 2
 Ladies of the Grand Army of the
 Republic, 6
 Legal Services Corporation, 1
 Legion of Valor of the U.S.A.,
 Inc., 6
 Library of Congress, 5
 Little League Baseball, 3

Marine Corps League, 6
 Marine Mammal Commission, 2
 Merit Systems Protection Board, 6
 Migratory Bird Conservation
 Commission, 2
 Military Chaplains Association
 of the U.S.A., 6
 Military Order of the Purple
 Heart of the U.S.A., 6

National Academy of Sciences, 3
 National Advisory Committee on
 Oceans and Atmosphere, 2
 National Advisory Council on
 Adult Education, 1
 National Advisory Council on
 Bilingual Education, 2
 National Advisory Council on
 Continuing Education, 1
 National Advisory Council on
 Indian Education, 3
 National Advisory Council on
 International Monetary and
 Financial Policies, 4
 National Aeronautics and Space
 Administration, 2
 National Capital Planning
 Commission, 4
 National Consumer Cooperative
 Bank, 4

Legend:

<u>GAO Analysts</u>	<u>Telephone Number</u>	<u>GAO Analysts</u>	<u>Telephone Number</u>
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2--Bobo, Emanuel	202-275-6187	5--Jackson, Marion	202-275-1581
3--Bowen, Johnny	202-275-6187	6--Bowen, Johnny	202-275-6187

National Commission on Libraries and Information Science, 5
 National Commission for Employment Policy, 1
 National Conference on Citizenship, 3
 National Council on Radiation Protection and Measurement, Inc., 1
 National Credit Union Administration, 4
 National Endowment for the Arts, 1
 National Endowment for the Humanities, 1
 National Labor Relations Board, 1
 National Mediation Board, 1
 National Music Council, 3
 National Park Foundation, 2
 National Productivity Council, 4
 National Railroad Passenger Corporation, (AMTRAK), 5
 National Safety Council, 3
 National Science Foundation, 2
 National Security Council, 4
 National Ski Patrol System, Inc., 6
 National Transportation Safety Board, 5
 National Women's Relief Corps, Auxiliary to the Grand Army of the Republic 6
 Navajo and Hopi Indian Relocation Commission, 6
 Naval Sea Cadet Corps, 3
 Navy Club of the U.S.A., 6
 Neighborhood Reinvestment Corporation, 1
 Nuclear Regulatory Commission, 2
 Occupational Safety and Health Review Commission, 1
 Office of Administration, (EOP), 4
 Office of Management and Budget, 4
 Office of Personnel Management, 6
 Office of Science and Technology Policy, 4
 Office of Technology Assessment, 2
 Office of the U.S. Trade Representative, 4
 Overseas Private Investment Corporation, 6
 Panama Canal Commission, 5
 Paralyzed Veterans of America, 6
 Peace Corps, 4
 Pennsylvania Avenue Development Corporation, 2
 Pension Benefit Guaranty Corporation, 1
 Postal Rate Commission, 6
 Postal Service, 6
 President's Commission for the Study of Ethical Problems in Medicine and Behavioral Research, 5

President's Committee on Employment of the Handicapped, 1
 President's Committee on Mental Retardation, 1
 Railroad Retirement Board, 5
 Reserve Officers Association, 6
 Securities and Exchange Commission, 5
 Selective Service System, 4
 Small Business Administration, 2
 Smithsonian Institution, 6
 Sons of the American Revolution, 6
 Sons of Union Veterans of the Civil War, 6
 State, Department of, 6
 Student Loan Marketing Association, 1
 Susquehanna River Basin Commission, 2
 Tennessee Valley Authority, 5
 Transportation, Department of, 5
 Treasury, Department of the, 4
 United Service Organization, 2
 United Spanish War Veterans, 6
 United States Synthetic Fuels Corp., 6
 U.S. Capitol Historical Society, Inc., 5
 U.S. Court of Claims, 3
 U.S. Court of Military Appeals, 3
 U.S. House of Representatives, 5
 U.S. International Trade Commission, 5
 U.S. National Commission for UNESCO, 6
 U.S. Olympic Association, 3
 U.S. Railway Association, 5
 U.S. Senate, 5
 U.S. Sinai Support Mission, 6
 U.S. Tax Court, 3
 U.S. International Development Cooperation Agency, 6
 Veterans Administration, 6
 Veterans of Foreign Wars of the U.S., 6
 Veterans of World War I of the U.S.A., 6
 Water Resources Council, 2

Legend:

GAO Analysts	Telephone Number	GAO Analysts	Telephone Number
1--D-Hungerford, Lorraine	202-275-4797	4--Heisler, Joseph	202-275-4797
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CENTRAL INTELLIGENCE AGENCY

WASHINGTON, D.C. 20505

OGC 83-03090
18 April 1983

Office of General Counsel

Mr. Curtis J. Smith
Acting Chief
Policy Analysis Division
Office of Personnel Management
1900 E. Street, N.W.
Washington, D.C. 20415

Dear Mr. Smith:

Your letter of 21 March 1983 to this Agency's Director of Personnel, requesting reports or other information on the Central Intelligence Agency Retirement and Disability System (CIARDS) and the CIA Employees Voluntary Investment Plan (VIP) for fiscal years 1981 and 1982, was referred to this Office for response. The asserted basis for your request is Sections 120-124 of the Budget and Accounting Procedures Act of 1950, 31 U.S.C. §§ 68-68d, as added by Section 1 of Public Law No. 95-595, 92 Stat. 2541-2543 (4 November 1978). Your letter indicates that it is your understanding that the two CIA plans mentioned are subject to the provisions of Public Law No. 95-595, and that CIA is required by law to file the annual reports described therein.

Our understanding is directly to the contrary. Section 121(b) of the Budget and Accounting Procedures Act of 1950, as amended, 31 U.S.C. § 68(b), expressly provides that "nothing in [Public Law 95-595] shall be construed as imposing any [reporting] requirement with respect to a Federal Government pension plan for officers and employees of the Central Intelligence Agency, unless the imposition of such requirement is specifically approved in writing by the President." To our knowledge, the President has never exercised this authority to place any CIA pension plan or plans within the purview of Public Law 95-595. We are aware that the President, in Section 1-101 of Executive Order 12177, 3 C.F.R. 464 (1979 Comp.), delegated all functions vested in him by Section 121(a) of the Budget and Accounting Procedures Act to the Director of the Office of Management and Budget, with authority vested in that officer to subdelegate any or all of those functions to other federal officers or agencies. However, because the President's authority to subject CIA pension plans to the requirements of the Act is vested in him by Section 121(b), that authority is not

delegated by Executive Order 12177. Moreover, given the extensive amount of sensitive information concerning Agency officers and employees which could be garnered from reports on or descriptions of the CIARDS and VIP programs, we believe that it would be inappropriate and unwise for there to be any erosion in the ability of the Director of Central Intelligence to protect such information.

Additionally, as we understand it, the VIP is not a "Federal Government pension plan" at all as defined by Section 123(a) of the Budget and Accounting Procedures Act of 1950, as amended, 31 U.S.C. § 68(c). Hence, the VIP is outside the purview of Public Law 95-595 even without regard to the exemption for CIA plans in Section 121(b) of the Budget and Accounting Procedures Act, 31 U.S.C. § 68(b).

Notwithstanding that as a matter of law the two CIA plans mentioned in your letter are not covered by the Act you have cited, it will certainly be possible for us to comply voluntarily and as a matter of policy with your request for information concerning the CIARDS program, albeit only to the extent and in a manner consistent with Section 102(d)(3) of the National Security Act of 1947, as amended, 50 U.S.C. § 403(d)(3), and with Section 6 of the CIA Act of 1949, as amended, 50 U.S.C. § 403g. In the first of these two provisions, Congress has charged the Director of Central Intelligence with "[responsibility] for protecting intelligence sources and methods from unauthorized disclosure." The second of these statutory provisions states that

[i]n the interests of the security of the foreign intelligence activities of the United States and in order further to implement the proviso of section 403(d)(3) of this title that the Director of Central Intelligence shall be responsible for protecting intelligence sources and methods from unauthorized disclosure, the Agency shall be exempted from the provisions of section 654 of Title 5 and the provisions of any other law which require the publication or disclosure of the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency: Provided, That in furtherance of this section, the Director of the Bureau of the Budget shall make no reports to the Congress in connection with the Agency under section 947(b) of Title 5.

We are sure you will understand that these statutory mandates clearly and necessarily limit the kind and amount of information regarding CIA retirement or pension systems and plans that may be disseminated outside this Agency.

However, our Director of Personnel has indicated that subject to those statutorily imposed limits, this Agency will be happy to provide as much information as possible to your Office regarding the CIARDS program.

We trust that the foregoing has been responsive to your request. [redacted] of our Office of Personnel [redacted] of this Office of General Counsel [redacted] are available to coordinate further with you on this matter, should you wish to pursue it.

STAT
STAT
STAT
STAT

Yours truly,

[redacted]
Assistant General Counsel

OGC:DJK:dlr

Distribution

Orig - Addee

- 1 - OGC SUBJ: Liaison - Governmental (#0308)
- 1 - OGC SUBJ: Retirement (#0523)
- 1 - OGC SUBJ: CIA Acts and Statutes (#0023)
- 1 - OGC Chrono
- 1 - [redacted] Signer
- 1 - [redacted] Signer

STAT

LEVEL 1 - 1 OF 16 SUBSECTIONS

TITLE 3. - THE PRESIDENT

§ 101. Commencement of term of office. - ANNOTATION

TEXT:

... report form or questionnaire. This authority is exercised by the Assistant Director for Statistical Standards, with assistance from an Advisory Council on Federal Reports representing national business organizations.

SEC. IV. COUNCIL OF ECONOMIC ADVISORS

The Council of Economic Advisors assists the President in the preparation of his economic reports to Congress; studies developments and trends in income, production, and employment; appraises activities of the Federal Government bearing upon the growth and stability of the ...

... National Security Council advises the President with respect to the integration of domestic, foreign, and military policies relating to the national security. The Central Intelligence Agency is under the Council's direction.

SEC. VI. OFFICE OF DEFENSE MOBILIZATION

LEVEL 1 - 2 OF 16 SUBSECTIONS

TITLE 5. -- GOVERNMENT ORGANIZATION AND EMPLOYEES.

~~S-1206.~~ Authority and responsibilities of the Special Counsel

Oct. 13, 1978

SS 1206. (b)

TEXT:

... (iii) disciplinary action against any employee; and

(iv) referral to the Attorney General of any evidence of a criminal violation.

(5)(A) Any such report shall be submitted to the Congress, to the President, and to the Special Counsel for transmittal to the complainant. Whenever the Special Counsel does not receive the report of the agency head within the time prescribed in paragraph (c)(A)(ii) of this subsection, the Special Counsel may transmit a copy of the information which was transmitted to the agency head to the President and to the Congress together with a statement noting the failure of the head of the agency to file the required report.

(B) In any case in which evidence of a criminal violation obtained by an agency in an investigation under paragraph (...

... defense or the conduct of foreign affairs.

(9) In any case under subsection (b)(1)(B) of this section involving foreign intelligence or counterintelligence information the disclosure of which is specifically prohibited by law or by Executive order, the Special Counsel shall transmit such information to the Permanent Select Committee on Intelligence of the House of Representatives and the Select Committee on Intelligence of the Senate.

TITLE 5. - GOVERNMENT ORGANIZATION AND EMPLOYEES

§ 2953. Reports to Congress on additional employee requirements.

SEP 6, 1966

§§ 2953. (b)

TEXT:

Subsection (a) of this section does not apply to -

- (1) the Central Intelligence Agency;
- (2) a Government controlled corporation; or
- (3) the General Accounting Office.

LEVEL 1 - 4 OF 16 SUBSECTIONS

TITLE 1D. - ARMED FORCES.

§ 16D1. Defense Intelligence Senior Executive Service.

DEC 4, 1981

§§ 16D1. (e)

TEXT:

The Secretary of Defense shall each year submit to the Permanent Select Committee on Intelligence of the House of Representatives and the Select Committee on Intelligence of the Senate, at the time the Budget is submitted by the President to the Congress for the next fiscal year, a report on the executive personnel in the Defense Intelligence Agency. The report shall include --

- (1) the total number of positions added to or deleted from the Defense Intelligence Senior Executive Service during the preceding fiscal year;
- (2) the number of executive personnel (including all members of the Defense Intelligence Senior Executive Service) being paid at each grade level and pay rate in effect at the end of the preceding fiscal year;
- (3) the number, distribution, and amount of awards paid to members of the Defense Intelligence Senior Executive Service during the preceding fiscal year; and
- (4) the number of individuals removed from the Defense Intelligence Senior Executive Service during the preceding fiscal year for less than fully successful performance.

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LEVEL 1 - 5 OF 16 SUBSECTIONS

TITLE 21. - FOOD AND DRUGS

§ 801. Congressional findings and declarations. - ANNOTATION

TEXT:

... under this section. Upon request of the Chairman of the Commission, such department or agency shall furnish such information to the Commission.

(d) [Marihuana study; report to the President and the Congress] (1) The Commission shall conduct a study of marihuana including, but not limited to, the following areas:

"(A) the extent of ...

... one year after the date on which funds first become available to carry out this section, the Commission shall submit to the President and the Congress a comprehensive report on its study and investigation under this subsection which shall include its recommendations and such proposals for legislation and administrative action as may be necessary to carry out its recommendations.

"(e) [Study and investigation of causes of drug abuse; report to the President and the Congress; termination of Commission] The Commission shall conduct a comprehensive study and investigation of the causes of drug abuse and their relative significance. The Commission shall submit to the President and the Congress such interim reports as it deems advisable and shall within two years after the date on which funds first become available to carry out this section submit to the President and the Congress a final report which shall contain a detailed statement of its findings and conclusions and also such recommendations for legislation and administrative actions as it deems appropriate. The Commission shall cease to exist sixty days after the final report is submitted under this subsection.

"(f) [Limitation on expenditures] Total expenditures of the Commission shall not exceed \$4,000,000."

EX. ORD. ...

... agencies, Federal and State, as may be available and appropriate.

RICHARD NIXON

Ex. Ord. No. 11676, July 27, 1972, 37 F.R. 15125, provided:

This Administration is determined to eradicate the menace of ...

... initiate programs for drug abuse prevention, education, treatment, and rehabilitation. I have now determined that a National Narcotics Intelligence System is a necessary next step in our campaign against illegal drug traffic, and that that system should be developed and maintained by a new office of National Narcotics Intelligence within the Department of Justice.

NOW, THEREFORE, by virtue of the authority vested in me by the Constitution and laws of the United States, including ...

... a) The Attorney General of the United States shall provide for the establishment within the Department of Justice of an "Office of National Narcotics Intelligence." This Office shall be headed by a Director.

(b) The Director shall be responsible for the development and maintenance of a National Narcotics Intelligence System. In developing that system, the Director shall call upon other agencies of the Government to provide him with

information, and such agencies shall, to the extent permitted by law, provide the Director with all information that is pertinent to the development and maintenance of a National Narcotics Intelligence System. The Director shall also call upon State and local agencies to provide him with such information.

(c) The Director shall be authorized to provide narcotics intelligence to any Federal, State, or local official that the Director determines has a legitimate official need to have access to such intelligence. These functions shall be performed under the general supervision and direction of the Attorney General, and the Attorney General shall delegate to the Director such authority as ...

... by deleting "(13) Chairman, Price Commission," and by inserting in lieu thereof "(13) Director, Office of National Narcotics Intelligence."

SEC. 4. Each department and agency of the Federal Government shall, upon request and to the extent permitted by law, assist the Director of the Office of National Narcotics Intelligence in the performance of functions assigned to him by or pursuant to this order, and the Director may, in carrying out those functions, utilize the services of any ...

LEVEL 1 - 6 OF 16 SUBSECTIONS

TITLE 22. - FOREIGN RELATIONS AND INTERCOURSE

§ 1754. Foreign currencies.

SEPT. 26, 1978; Effective 9/26/78

§§ 1754. (b) Availability to Congressional committees.

TEXT:

... otherwise appropriated.

(2) On a quarterly basis, the chairman of each committee of the House of Representatives or the Senate and of each joint committee of the Congress (A) shall prepare a consolidated report (i) which itemizes the amounts and dollar equivalent values of each foreign currency expended and the amounts of dollar expenditures from appropriated funds ...

... Senate (if the committee is a committee of the Senate or a joint committee whose funds are disbursed by the Secretary of the Senate). Each such consolidated report shall be open to public inspection and shall be published in the Congressional Record within ten legislative days after the report is forwarded pursuant to this paragraph. In the case of the Select Committee on

Intelligence of the Senate and the Permanent Select Committee on Intelligence of the House of Representatives, such consolidated report may, in the discretion of the chairman of the committee, omit such information as would identify the foreign countries in which members and employees of that committee traveled.

Approved For Release 2008/12/03 : CIA-RDP90B01370R000200350008-4

LEVEL 1 - 10 OF 16 SUBSECTIONS

TITLE 31. - MONEY AND FINANCE.

§ 716. Availability of information and inspection of records

September 13, 1982

§§ 716. (d)

TEXT:

... section or issue a subpoena under subsection (c) of this section if --

(A) the record related to activities the President designates as foreign intelligence or counterintelligence activities;

(B) the record is specifically exempted from disclosure to the Comptroller General by a statute that --

(1) without discretion ...

... General; or

(iii) refers to particular types of records to be withheld from the Comptroller General; or

(C) by the 20th day after a report is filed under subsection (b)(1) of this section, the President or the Director certifies to the Comptroller General and Congress that a record could be withheld under section 552(b)(5) or (7) of title 5 and disclosure reasonably could be expected to impair ...

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LEVEL 1 - 11 OF 16 SUBSECTIONS

TITLE 31. - MONEY AND FINANCE.

§ 9503. Reports about Government pension plans

September 13, 1982

§§ 9503. (a)

TEXT:

... plan is subject to section 103. However, section 103 applies to a Government pension plan for officers or employees of the Central Intelligence Agency only if the President specifically approves application of the requirements of section 103 in writing. In applying section 103 to a Government pension plan --

(1) the annual report shall be --

(A) in the form and include information the President, in consultation with the Comptroller General, prescribes or, if the pension plan is referred to in section 9502(1)(B) (iv)-(vii) or (ix) of this title, the Comptroller General prescribes; and

(B) submitted to Congress and to the Comptroller General by the end of the 210-day period beginning on the day after the last day of the plan year involved;

{ ...

LEVEL 1 - 12 OF 16 SUBSECTIONS

TITLE 50. - WAR AND NATIONAL DEFENSE

§ 403g. Central Intelligence Agency; protection of nature of Agency's functions.

JUL 1, 1970

TEXT:

In the interests of the security of the foreign intelligence activities of the United States and in order further to implement the proviso of section 403 (d) (3) of this title that the Director of Central Intelligence shall be responsible for protecting intelligence sources and methods from unauthorized disclosure, the Agency shall be exempted from the provisions of section 654 of Title 5, and the provisions of any other law which ...

... personnel employed by the Agency: Provided, That in furtherance of this section, the Director of the Office of Management and Budget shall make no reports to the Congress in connection with the Agency under section 947(b) of Title 5.

LEVEL 1 - 13 OF 16 SUBSECTIONS

TITLE 50. -- WAR AND NATIONAL DEFENSE.

§ 413. CONGRESSIONAL OVERSIGHT.

OCT 14, 1980

§§ 413. (a)

TEXT:

... legislative branches of the Government, and to the extent consistent with due regard for the protection from unauthorized disclosure of classified information and information relating to intelligence sources and methods, the Director of Central Intelligence and the heads of all departments, agencies, and other entities of the United States involved in intelligence activities shall --

(1) keep the Select Committee on Intelligence of the Senate and the Permanent Select Committee on Intelligence of the House of Representatives (hereinafter in this section referred to as the 'intelligence committees') fully and currently informed of all intelligence activities which are the responsibility of, are engaged in by, or are carried out for or on behalf of, any department, agency, or entity of the United States, including any

significant anticipated intelligence activity, except that (A) the foregoing provision shall not require approval of the intelligence committees as a condition precedent to the initiation of any such anticipated intelligence activity, and (B) if the President determines it is essential to limit prior notice to meet extraordinary circumstances affecting vital interests of the United States, such notice shall be limited to the Chairman and ranking minority members of the intelligence committees, the Speaker and minority leader of the House of Representatives, and the majority and minority leaders of the Senate;

(2) furnish any information or material concerning intelligence activities which is in the possession, custody, or control of any department, agency, or entity of the United States and which is requested by either of the intelligence committees in order to carry out its authorized responsibilities; and

(3) report in a timely fashion to the intelligence committees any illegal intelligence activity or significant intelligence failure and any corrective action that has been taken or is planned to be taken in connection with such illegal activity or failure.

LEVEL 1 - 14 OF 16 SUBSECTIONS

TITLE 50. - WAR AND NATIONAL DEFENSE.

§ 423. REPORT.

June 23, 1982

§§ 423. (a)

TEXT:

The President, after receiving information from the Director of Central Intelligence, shall submit to the Select Committee on Intelligence of the Senate and the Permanent Select Committee on Intelligence of the House of Representatives an annual report on measures to protect the identities of covert agents, and on any other matter relevant to the protection of the identities of covert agents.

LEVEL 1 - 15 OF 16 SUBSECTIONS

TITLE 50. - WAR AND NATIONAL DEFENSE.

§ 1802. AUTHORIZATION FOR ELECTRONIC SURVEILLANCE FOR FOREIGN INTELLIGENCE PURPOSES

Oct: 25, 1978

§§ 1802. (a)

TEXT:

... through the Attorney General, may authorize electronic surveillance without a court order under this title to acquire foreign intelligence information for periods of up to one year if the Attorney General certifies in writing under oath that -

(A) the electronic ...

... between or among foreign powers, as defined in section 101(a)(1), (2), or (3); or

(ii) the acquisition of technical intelligence, other than the spoken communications of individuals, from property or premises under the open and exclusive control of a foreign power, as defined in ...

... proposed minimization procedures with respect to such surveillance meet the definition of minimization procedures under section 101(h); and

if the Attorney General reports such minimization procedures and any changes thereto to the House Permanent Select Committee on Intelligence and the Senate Select Committee on Intelligence at least thirty days prior to their effective date, unless the Attorney General determines immediate action is required and notifies the committees ...

... accordance with the Attorney General's certification and the minimization procedures adopted by him. The Attorney General shall assess compliance with such procedures and shall report such assessments to the House Permanent Select Committee on Intelligence and the Senate Select Committee on Intelligence under the provisions of section 108(a).

(3) The Attorney General shall immediately transmit under seal to the court established ...

... maintained under security measures established by the Chief Justice with the concurrence of the Attorney General, in consultation with the Director of Central Intelligence, and shall remain sealed unless -

(A) an application for a court order with respect to the surveillance is made

under sections ...

... services that such carrier is providing its customers; and

(B) maintain under security procedures approved by the Attorney General and the Director of Central Intelligence any records concerning the surveillance or the aid furnished which such carrier wishes to retain.

The Government shall compensate, at the prevailing rate, such carrier for ...

LEVEL 1 - 16 OF 16 SUBSECTIONS

TITLE 50. - WAR AND NATIONAL DEFENSE.

§ 1808. CONGRESSIONAL OVERSIGHT

Oct. 25, 1978

§§ 1808. (b)

TEXT:

... year after the effective date of this Act and on the same day each year for four years thereafter, the Permanent Select Committee on Intelligence and the Senate Select Committee on Intelligence shall report respectively to the House of Representatives and the Senate, concerning the implementation of this Act. Said reports shall include but not be limited to an analysis and recommendations concerning whether this Act should be (1) amended, (2) repealed, or (3) permitted to ...